

# IRA TRANSFER REQUEST

*The term IRA will be used below to mean Traditional IRA and SIMPLE IRA, unless otherwise specified.  
This form is not designed to be used to transfer assets from a Traditional IRA to a Roth IRA.*

<b>IRA HOLDER'S NAME AND ADDRESS (or Beneficiary or Former Spouse)</b>			<b>CURRENT IRA TRUSTEE'S OR CUSTODIAN'S NAME AND ADDRESS</b>		
<b>Social Security Number</b>	<b>Date of Birth</b>	<b>Day/Evening Phone</b>	<b>Account Identification Number</b>	<b>Type of Transferring IRA</b>	<b>Phone Number of IRA Trustee or Custodian</b>
				<input type="checkbox"/> Traditional IRA <input type="checkbox"/> SIMPLE IRA	

INSTRUCTIONS
<p>Directly transfer <input type="checkbox"/> all or <input type="checkbox"/> part of the IRA identified above in the following manner.                  Please make a check payable as follows: “_____ (Name of Accepting Organization), as <input type="checkbox"/> Trustee <input type="checkbox"/> Custodian, FBO _____ (Name of IRA holder), _____ (Depository (Fund Company) Name) IRA.”                  Mail the check to: _____ (Depository (Fund Company) Name and Address).</p>

REQUIRED MINIMUM DISTRIBUTION (RMD) TRANSFER INSTRUCTIONS
<p>I authorize the Trustee or Custodian named above to <input type="checkbox"/> distribute my RMD to me prior to transferring my IRA assets, <input type="checkbox"/> segregate and retain my RMD amount, or <input type="checkbox"/> include the amount that represents my RMD in the transfer.</p>

ASSET LIQUIDATION INSTRUCTIONS		
Account Number	Amount In IRA	Amount To Be Transferred

ACCEPTING IRA TRUSTEE OR CUSTODIAN INVESTMENT INFORMATION												
<p><input type="checkbox"/> This is a new IRA. My IRA Application is attached. <input type="checkbox"/> I have an existing IRA. Please invest the proceeds of this transfer as follows:</p> <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; border: none;">Fund Name</td> <td style="width: 25%; border: none;">Account Number</td> <td style="width: 25%; border: none;">Percent (must total 100%)</td> </tr> <tr> <td style="border: none;">_____</td> <td style="border: none;">_____</td> <td style="border: none;">_____</td> </tr> <tr> <td style="border: none;">_____</td> <td style="border: none;">_____</td> <td style="border: none;">_____</td> </tr> <tr> <td style="border: none;">_____</td> <td style="border: none;">_____</td> <td style="border: none;">_____</td> </tr> </table>	Fund Name	Account Number	Percent (must total 100%)	_____	_____	_____	_____	_____	_____	_____	_____	_____
Fund Name	Account Number	Percent (must total 100%)										
_____	_____	_____										
_____	_____	_____										
_____	_____	_____										

SIGNATURE
<p>I authorize the transfer of the IRA assets in the manner described above and certify that all of the information provided by me is correct and may be relied upon by the Trustee or Custodian. I understand that I am responsible for determining my eligibility to transfer within the limits set forth by tax laws, related regulations and plan agreements. I understand that special rules apply to SIMPLE IRA to Traditional IRA transfers. I assume responsibility for any tax consequences or penalties that may apply to the transfer of my assets.</p>
_____ (Individual) <span style="float: right;">_____ (Date)</span>
_____ (Signature Guarantee, if applicable) <span style="float: right;">_____ (Date)</span>

ACCEPTING IRA TRUSTEE OR CUSTODIAN
<p>The IRA designated by the above named individual is a valid IRA. The Trustee or Custodian, as identified in the Individual Retirement Account Application, hereby agrees to serve as the Trustee or Custodian for the account of the above-named individual, and in that capacity, agrees to accept the transfer of the assets listed above.</p> <p>Account Identification of Accepting IRA _____</p> <p style="text-align: center;">                 _____ (Authorized Signature of Trustee or Custodian) <span style="float: right;">_____ (Date)</span> </p>

IRA INQUIRIES
<p>For inquiries, please continue to contact the Depository (Fund Company) at the address or phone number listed on the IRA Account Application.</p>